課程名稱:社會資本

任課教師:林南、陳志柔

上課時間:2/16(第一次上課按學校課表的時間及教室);5/31-7/23,週一&週五

10:00-13:00 •

上課地點:中央研究院社會學所

The course will explore (1) the theories of capital and theories of social capital, (2) the empirical work on social capital, (3) linkages between social capital and instrumental and expressive actions, (4) new directions for theoretical and research extensions of the theory.

TextBooks

Nan Lin, Social Capital: A Theory of Social Structure and Action, 2001, Cambridge University Press.

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Coleman, James S. 1990. Foundations of Social Theory. Cambridge, MA: Harvard University Press.

Burt, Ronald S. 1992. Structural Holes: The Social Structure of Competition.

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Putnam, Robert D. 2000. Bowling Alone: The Collapse and Revival of American Community. NY: Simon & Schuster.

Dasgupta, Partha and Ismail Serageldin. 2000. Social Capital: A Multifaceted Perspective. Washington, D. C.: The World Bank.

Nan Lin, Karen Cook, and Ronald S. Burt, editors, Social Capital: Theory and Research. 2001. Chicago: Aldine de Gruytel.

Topics and Readings

*Required reading

I. Theories of Capital:

The classical theory: Marx's capitalism

Marx, Karl (David McLellan, editor). 1995 (1867, 1885, 1894). Capital: A New Abridgement. Oxford: Oxford University Press.

Marx, Karl. 1933 (1849). Wage-Labour and Capital. New York: International Publishers Co.

*Brewer, Anthony. 1984. A Guide to Marx's Capital. Cambridge: Cambridge University Press.

The Neo-capital theories:

⊚Human Capital:

- Johnson, Harry G. 1960. "The Political Economy of Opulence." Canadian Journal of Economics and Political Science 26:552-64.
- * Schultz, Theodore W. 1961. "Investment in Human Capital." The American Economic Review LI(1):1-17, 1.

Becker, Gary S. 1964/1993. Human Capital. Chicago: University of Chicago Press.

©Cultural Capital:

- Bourdieu, Pierre. 1972/1977. Outline of a Theory of Practice. Cambridge: Cambridge University Press.
- Bourdieu, Pierre and Jean-Claude Passeron. 1977. Reproduction in Education, Society, Culture. Beverly Hills: Sage. Book 1.
- * Jenkins, Richard. 1992. Pierre Bourdieu. London: Loutledge. Chapter 5.
- * Lin, 2001, Chapter 1. Theories of Capital: The Historical Foundation

II. Social Capital: Defining and Conceptualizing

Social Networks and Embedded Resources

- ©Formative Works
- →Interactions and Homophily
- *Homans, George C. 1950. The Human Group. NY: Harcourt, Brace. Chapters 2, 4, and 6
- *Lazarsfeld, Paul F. and Robert K. Merton. 1954. "Friendship as Social Process: A Substantive and Methodological Analysis." Pp. 298-348 in The Varied Sociology of Paul F. Lazarsfeld, edited by P. L. Kendall. NY: Columbia University Press.
- Marsden, Peter V. 1988. "Homogeneity in Confiding Networks." Social Networks 10:57-76.

→Network Locations and Tie Strength: *Granovetter, Mark. 1973. "The Strength of Weak Ties." American Journal of Sociology 78:1360-80. . 1974. Getting a Job. Cambridge, MA: Harvard University Press. . 1982. "The Strength of Weak Ties: A Network Theory Revisited." Pp. 105-30 in Social Structure and Network Analysis, edited by Peter V. Marsden and Nan Lin. Beverly Hills, CA: Sage. *Lin, Nan, Paul Dayton, and Peter Greenwald. 1978. "Analyzing the Instrumental Use of Relations in the Context of Social Structure." Sociological Methods and Research 7:149-66. Marsden, Peter V. and Karen E. Campbell. 1984. "Measuring Tie Strength." Social Forces 63 (December):482-501. *Burt, Ronald S. 1992. Structural Holes: The Social Structure of Competition. Cambridge, MA: Harvard University Press. 傅仰止 2002 "個人網絡中他人聯繫強度的結構原理"台灣社會學第三期,頁 163-209 ©Conceptualizing *Lin, Nan. 1982. "Social Resources and Instrumental Action." Pp. 131-45 in Social Structure and Network Analysis, edited by Peter V. Marsden and Nan Lin. Beverly Hills, CA: Sage. *Bourdieu, Pierre. 1983/1986. "The Forms of Capital." Pp. 241-58 in Handbook of Theory and Research for the Sociology of Education, edited by John G. Richardson. Westport, CT.: Greenwood Press. . 1980. "Le Capital Social: Notes Provisoires." Actes de la Recherche en Sciences Sociales 3:2-3. *Coleman, James S. 1990. Foundations of Social Theory. Cambridge, MA: Harvard University Press. Chapter 12.

Pizzorno, Alessandro. 1991. "On the Individualistic Theory of Social Order." Pp.

and James S. Coleman. Boulder, Colorado: Westview.

209-31 in Social Theory for a Changing Society, edited by Pierre Bourdieu

- 《Additional Readings》
- → Embedded Resources and Structure

- Sewell, William H., Jr. 1992. "A Theory of Structure: Duality, Agency, and Transformation." American Journal of Sociology 98(1):1-29.
- → Values of Embedded Resources
- Weber, Max. 1946. Max Weber: Essays in Sociology (Translated by H. H. Gerth and C. Wright Mills). New York: Oxford University Press.
- Burt, Ron. 1997. "The Contingent Value of Social Capital." Administrative Science Quarterly 42:339-65.
- \rightarrow Integrating
- *Lin, Chapters 2, 3, 4, 5
- ch2. Social Capital: Capital Captured through Social Relations
- ch3. Resources, Hierarchy, Networks, and Homophily: The Structural Foundation
- ch4. Resources, Motivations, and Interactions: The Action Foundation
- ch5. The Theory and Theoretical Propositions
- *Ronald S. Burt. 2001. "Structural Holes Versus Network Closure as Social Capital." InSocial Capital: Theory and Research, edited by N. Lin, K. Cook and R. S. Burt. Hawthorn, NY: Aldine de Gruyter.

Civic Engagement

- *Coleman, James S. 1990. Foundations of Social Theory. Cambridge, MA: Harvard University Press. (Especially Chapter 12, pp. 360-363, 590-597)
- *Putnam, Robert D. 1993. Making Democracy Work: Civic Traditions in Modern Italy.

 Princeton, N. J.: Princeton University Press.

Generalized Trust

- *Coleman, James S. 1990. Foundations of Social Theory. Cambridge, MA: Harvard University Press. (Especially Chapter 12, pp. 360-363, 590-597)
- *Putnam, Robert D. 1995b. "Tuning In, Tuning Out: The Strange Disappearance of Social Capital in America." The 1995 Itheiel de Sola Pool Lefcture.

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III. Empirical Evidence of Social Capital

Social Resources Theory

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- 熊瑞梅、黃毅志. 1992. "社會資源與小資產階級"中國社會學刊 16:107-38.
- *Bian, Yanjie. 1997. "Bringing Strong Ties Back In: Indirect Connection, Bridges, and Job Search in China." American Sociological Review 62(3):36-385, 3.
- Bian, Yanjie and Soon Ang. 1997. "Guanxi Networks and Job Mobility in China and Singapore." Social Forces 75:981-1006.
- Name Generator versus Position Generator: Methodological Debates and Advances:
- *Campbell, Karen E., Peter V. Marsden, and Jeanne S. Hurlbert. 1986. "Social Resources and Socioeconomic Status." Social Networks 8(1).
- Ron Burt. 1998. "The Gender of Social Capital." Rationality and Society 10(1):5-46, 1.
- *Lin, Nan and Mary Dumin. 1986. "Access to Occupations Through Social Ties." Social Networks 8:365-85.
- *Erickson, Bonnie H. 1996. "A Structural Approach to Network and Cultural Resources." American Journal of Sociology.
- *Lin, Nan, Yang-chih Fu, and Ray-may Hsung. 1998. "Position Generator: A Measurement for Social Capital." Social Networks and Social Capital. Duke University, November.

Structural Positions

Geletkanycz, Marta A. and Donald C. Hambrick. 1997. "The External Ties of Top Exectuvies: Implications for Strrategic Choice and Performance."

- Administrative Science Quarterly 42:654-81.
- Pennings, Johannes M., Kyungmook Lee, and Arjen van Witteloostuijn. 1998.

 "Human Capital, Social Capital, and Firm Dissolution." Academy of
 Management Science 41:425-40.
- Stuart, Toby E. and Joel M. Podolny. 1999. "Positional Causes and Correlates of Strategic Alliances in the Semiconductor Industry." Pp. 161-82 in Research in the Sociology of Organizations, edited by S. Andrews and D. Knoke. Greenwich, CT: JAI Press.
- Baum, Joel A. C., Tony Calabrese, and Brian S. Silverman. 2000. "Don't Go It Alone: Alliance Network Composition and Startups' Performances in Canadian Biotechnology." Strategic Management Journal 21:267-94.
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- *Putnam, Robert D. 2000. Bowling Alone: The Collapse and Revival of American Community. NY: Simon & Schuster.
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- Verba, Sidney, Kay Lehman Schlozman, and Henry E. Brady. 1995. Voice and Equality: Civic Voluntarism in American Politics. Cambridge, MA: Harvard University Press.
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- Kenneday, B. P., I. Kawachi, and E. Brainerd. 1998. "The Role of Social Capital in the Russian Mortality Crisis." World Development 26:2029-43.
- Kawachi, Ichiro and Lisa Berkman. 2000. "Social Cohesion, Social Capital, and Health." Pp. 174-90 in Social Epidemiology, edited by L. Berkman and I. Kawachi. NY: Oxford University Press.
- Kawachi, Ichiro, Bruce P. Kenneday, Kimberly Lochner, and D. Prothrow-Stith.

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- Kawachi, Ichiro, Bruce P. Kennedy, and Roberta Glass. 1999. "Social Capital and Self-Rated Health: A Contextual Analysis." American Journal of Public Health 89(8):1187-93.
- Kawachi, Ichiro, Bruce P. Kennedy, and Kimberly Lochner. 1997a. "Long Live Community: Social Capital as Public Health." The American Prospect 35 (November-December):56-59.
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Rationality and Society 12(4):451-76.

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Durkheim, Emile. 1956. The Division of Labor in Society. New York: Free Press.

Simmel, Georg (ed. Donald N. Levine). 1971. Georg Simmel on Individuality and Social Forms. Chicago: University of Chicago Press.

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Barber, Bernard. 1983. The Logic and Limits of Trust. New Brunswick, NJ: Rutgers University Press.

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Williamson, Oliver E. 1985. The Economic Institutions of Capitalism. New York: Free Press.

_____1993. "Calculativeness, Trust, and Economic Organization." Journal of Law and Economics 36(1-2, April):453-86.

IV. Extensions

Social Capital in Institutional Transformation

Volker, Beate and Henk Flap. 1996. "Getting Ahead in the GDR: Human Capital and Social Capital in the Status Attainment Process Under Communism."

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Angelusz, Robert and Robert Tardos. 1998. "Shifts in Access to Social Network Resources in Hungary Under Transformation." Social Networks and Social Capital. Duke University, November.

Collective Social Capital

*Portes, Alejandro and Julia Sensenbrenner. 1993. "Embeddedness and Immigration:

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Social Capital and Inequality

- *Green, Gary P., Leann M. Tigges, and Irene Browne. 1995. "Social Resources, Job Search, and Poverty in Atlanta." Research in Community Sociology 5:161-82.
- *Lin, 2001. Chapter 7. AInequality in Social Capital: A Research Agenda: V. Syntheses and Debates
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- *Woolcock, Michael. 1998. "Social Capital and Economic Development: Toward a Theoretical Synthesis and Policy Framework." Theory and Society 27:151-208.
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- Serageldin, Ismail and Christiaan Grootaert. 2000. "Defining Social Capital: An Integrating View." Pp. 40-58 in Social Capital: A Multifaceted Perspective, edited by P. Dasgupta and I. Serageldin. Washington, D. C.: The World Bank.
- * Foley, Michael W. and Bob Edwards. 1999. "Is It Time to Disinvest in Social Capital." Journal of Public Policy 19(2):141-73.
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- Lynch, J., P. Due, C. Muntaner, and G. Davey Smith. 2000. "Social Capital -- Is It a Good Investment Strategy for Public Health." Journal of Epidemiology and Community Health 54:404-08.
- Baum, Francis E. 2000. "Social Capital, Economic Capital and Power: Further Issues for a Public Health Agenda." Journal of Epidemiology and Community Health 54:409-10.
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- Zhou, Xueguang., Zhao Wei., Li Qiang., and Cai He (2003) 'Embeddedness and contractual relationships in China's transitional economy', American Sociological Review, 68: 75-102.

VI. Theoretical Extensions

Emergence of Social Capital

*Nan Lin. 1994a. "Action, Social Resources, and the Emergence of Social Structure: A Rational Choice Theory." Advances in Group Processes 11:67-85. Or, Lin, 2001, Chapter 8. Social Capital and the Emergence of Social Structure: A Theory of Rational Choice

Social Exchange and Reputation: Rent of Social Capital

- Smart, Alan. 1993. "Gifts, Bribes, and Guanxi: A Reconsideration of Bourdieu's Social Capital." Cultural Anthropology 8(3):388-408, 3.
- Nan Lin. 1997. "Guanxi: A Conceptual Analysis." Annual Meeting of the American Sociological Association. Toronto, August 8.
- *Nan Lin, 2001, Chapter 9. Reputation and Social Capital: The Rational Basis of Social Exchange

Social Capital in Organizations

Lin, 2001, Chapter 10. Social Capital in Hierarchical Structures

Or, Nan Lin. 1990. "Social Resources and Social Mobility: A Structural Theory of Status Attainment." Pp. 247-171 in Social Mobility and Social Structure, edited by Ronald L. Breiger. NY: Cambridge University Press.

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Nan Lin. 1995d. "Institutions and Networks: The Infrastructure of Society."
 International Social Network Conference. London, July 6-10. Or, Lin, 2001.
 Chapter 11. Institutions, Networks and Capital Building: Societal
 Maintenance and Transformations

Ascent of Social Capital: Cybernetworks

*Nan Lin, 2001. Chapter 12. Cybernetworks: The Rise of Social Capital

*Nan Lin, Bai Gao, and Marc Magee. 2001. ACybernetworks and the Social Capital-Based Economy.

《Additional readings》

Brecher, Jeremy and Tim Costello. 1998. Global Village or Global Pillage.

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Electronic Resources:

soc-cap@ext.jussieu.fr
 European discussion group on social capital
 www.worldbank.org/poverty/scapital
 World Bank social capital website
 ksgwww.harvard.edu/saguaro
 Harvard group on social capital
 (tom_sanders@harvard.edu)

Reading Assignments:

Students are expected to read and report on original and synthetic contributions in the literature throughout the course.

Presentations and Term Papers:

Students are expected to write a series of short papers throughout the course and to write a term paper focusing on one theoretical area with synthesis, critique, and proposed propositions. Grade will be based on participation in class discussions, presentations of papers, and mastery of literature and innovative ideas as reflected in the term paper.